

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter B. Madoff
Serial No. : 09/392,018
Filed : September 8, 1999
Title : OPENING PRICE PROCESS FOR TRADING SYSTEM
Art Unit : 2165
Examiner : Thompson, JR. F.

BOX AF
Commissioner for Patents
Washington, D.C. 20231

NOTICE OF APPEAL

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the action dated April 10, 2001, finally rejecting claims 1-30.

A petition for an extension of time under 37 CFR §1.136 to extend the time to respond to the final rejection for 1 month(s) to and including August 10, 2001 is enclosed.

A check in the amount of \$310 for the appeal fee is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

7/23/2001

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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July 23, 2001
Maureen G. Collins
Maureen G. Collins

PATENT

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10575-004001

The Patent and Trademark Office date stamp sets forth the date of receipt of:

Applicant or Patentee Peter B. Madoff
 No. (Application, Appeal, Interference, Patent, Reexam) 09/393,018
 Filing or Issue Date September 8 1999
 Title: Opening Price Process For Trading System
☐ Transmittal Letter (2 Copies) ☐ With Pet. for Ext.
☐ Assignment ☐ Status Inquiry
☒ Amendment/Response 9 Pages ☐ Declaration
☐ Maintenance Fee ☐ Request Certificate of Correction
☒ Check \$ 310. & 110. ☒ Notice of Appeal
☐ Deposit Account Order Form (2 Copies) ☐ Appeal Brief (3 Copies) Pages
☐ Issue Fee ☐ Request Patent Copies ☒ Petition for Extension Time
☐ Information Disclosure Statement
☐ PTO 1449 Form- Pages
☐ Prior Art References-Number of References
☐ Drawings Sheets Formal Sheets Informal Sheets Amended
☐ Notice of Missing Parts
☐ Combined Declaration and Power of Attorney
☐ Small Entity Statement
☐ Other

Atty/Sec. Client/
 Initials DM/DF Matter Name Primer Holdings / Opening Price Date 7-23-01

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